

Comprehensive Compliance Monitoring and Enforcement Report

Report run on: March 3, 2020 4:09:52 PM EST

Version 6.0

User Selection Criteria

Handler ID: NYD077304400

Evaluation Date Range: From: 10/01/1990 To: 03/03/2020

Report Results

Number of Handlers: 1

Number of Pages: 3

Report Description

This report provides a complete listing of evaluation, violation and enforcement activities for each handler, including all orphan records. Below the handler id information, the data is presented in three sections; evaluations, violations and enforcements. Notes are provided in each respective section.

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*** WARNING *** Sensitive information may be displayed on this report. *** WARNING ***

AUBURN CORRECTIONAL FACILITY

County CAYUGA / NY011

NYD077304400

Location: 135 STATE STREET; AUBURN, NY 13021

REGION 02

Mailing: 135 STATE STREET; AUBURN, NY 13021

Activity Location:	NY	State District:	NYSDEC R7	Accessibility:		Non-Notifier:		Extract Flag:	Y	Active Site:	Y
Generator:	LQG	Operating TSDF:	-----	Offsite Receiver:	N	State Unaddressed SNC:	N	EPA Unaddressed SNC:	N		
Short Term Generator:	N	Transporter:	N	IC in Place:	N	State Addressed SNC:	N	EPA Addressed SNC:	N		
Full Enforcement:	-----	Transfer Facility:	N	EI Indicator (HE/GW):	N / N	State SNC w/Comp Sched:	N	EPA SNC w/Comp Sched:	N		
CA Wrkld:	N	Converter:	-----	HSM:	NN						
Active State Gen:	Y	State TSDF:	-----	Subpart K:	----						

CEI Evaluation	01/09/2019	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYBRS	Suborganization:	R7	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	01/09/2019	Focus Area:	

Violation Activity Location: NY Type: XXS Determined Date: 01/09/2019 Determined By Agency: STATE Responsible Agency: STATE
Scheduled Compliance Date: Actual Compliance Date: RTC Qualifier: Sequence Number: 3

Citation	Seq #	Type	Citation	Notes
	1	SR	373-3.9(d)(3)	

Enforcement:	Activity Location: NY	Type: 120	Action Date: 01/22/2019	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYBRS	Suborganization: R7
CA Component:	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enf. Notes: NOV				

Violation Activity Location: NY Type: 262.D Determined Date: 01/09/2019 Determined By Agency: STATE Responsible Agency: STATE
Scheduled Compliance Date: Actual Compliance Date: 01/14/2019 RTC Qualifier: DOCUMENTED Sequence Number: 4

Citation	Seq #	Type	Citation	Notes
	2	SR	372.2(c)(1)(i)	

Viol. Notes: Corrected before NOV was sent out.

Enforcement:	Activity Location: NY	Type: 120	Action Date: 01/22/2019	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYBRS	Suborganization: R7
CA Component:	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enf. Notes: NOV				

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

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AUBURN CORRECTIONAL FACILITY - continued

NYD077304400

CEI Evaluation	06/26/2012	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYBRS	Suborganization:	R7	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	06/26/2012	Focus Area:	

Violation Activity Location: NY Type: XXS Determined Date: 06/26/2012 Determined By Agency: STATE Responsible Agency: STATE
Scheduled Compliance Date: Actual Compliance Date: 06/26/2012 RTC Qualifier: OBSERVED Sequence Number: 1

Citation	Seq #	Type	Citation	Notes
	1	SR	373-3.9(d)(3)	

Enforcement:	Activity Location: NY	Type: 120	Action Date: 06/27/2012	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYBRS	Suborganization: R7
CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

Violation Activity Location: NY Type: 273.B Determined Date: 06/26/2012 Determined By Agency: STATE Responsible Agency: STATE
Scheduled Compliance Date: Actual Compliance Date: 06/26/2012 RTC Qualifier: OBSERVED Sequence Number: 2

Citation	Seq #	Type	Citation	Notes
	2	SR	374-3.2(d)(4)	

Enforcement:	Activity Location: NY	Type: 120	Action Date: 06/27/2012	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYBRS	Suborganization: R7
CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

CEI Evaluation	05/17/1999	Activity Location:	NY	By:	EPA	Identifier:	000	Person:	R2THP	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: PUBLIC FACILITY. INFO REQUEST LETTER SENT.													

No Linked Violations

*** End of Report ***